# Computer Graphics – Transformations

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#### Introduction

- We know how to create objects, color them and/or give them a detailed appearance using textures
- But they are static objects
- Could change their vertices and re-configuring their buffers each frame to move them → cumbersome and costs quite some processing power
- Better: transform an object by using (multiple) matrix objects

#### Introduction

- Matrices are very powerful mathematical constructs that are very important in computer graphics
- This lecture is about a small introduction to vectors and matrices

#### Vectors

#### Introduction

- A vector has a direction and a magnitude (tuple of numbers)
- Vectors can have any dimension, but we usually work with dimensions of 2 to 4



- In GLSL vectors can be defined by vec2, vec3, and vec4
- GLSL offers simple scalar operations:

```
vec2 p = vec2(2,3);
p = p + 2; //p = (4,5)
p-= 3; //p = (1,2)
vec2 q = -p; //q = (-1,-2)
```

• Addition of two vectors is defined as a component-wise addition:

vec3 p = vec3(1,2,3); vec3 q = vec3(4,5,6); vec3 res = p + q; //res = (5,7,9)



• Length of a vector  $\rightarrow$  Pythagoras theorem

vec3 v = vec3(2,3,3);
float L = length(v); //L = sqrt(22)



Х

• Normalizing of a vector  $\rightarrow$  divide by length

vec3 v = vec3(2,3,3); vec3 n = normalize(v); //n = v/|v|

$$n = \frac{v}{\|v\|}$$

- Dot product: component-wise multiplication and addition afterwards
- In this case three dimensional vectors

```
vec3 v = vec3(1,2,3);
vec3 w = vec3(4,5,6);
float d = dot(v,w); //d=4+10+18=32
```

$$v \cdot w = \langle v, w \rangle = v_1 w_1 + v_2 w_2 + v_3 w_3$$

• Dot product with the vector itself is the length squared

$$v \cdot v = v_1^2 + v_2^2 + v_3^2 = ||v||^2$$

• Dot product: also the lengths multiplied with cos of the angle between both vector

```
vec3 v = vec3(1,2,3);
vec3 w = vec3(4,5,6);
float d = dot(v,w); //d=4+10+18=32
float len_v = length(v);
float len_w = length(w);
float a = acos(d/(len_v*len_w));
```

$$v \cdot w = \|v\| \cdot \|w\| \cdot \cos(\alpha)$$
$$\alpha = \alpha \cos \frac{v \cdot w}{\|v\| \cdot \|w\|}$$

#### Multiplying two vectors results in a component-wise multiplication:

vec3 v = vec3(1,2,3); vec3 w = vec3(4,5,6); vec3 u = v\*w; // = (4,10,18)

• Useful conclusion:

W

 $\cos(\alpha) \cdot \|w\|$ 

k

1)

 $\boldsymbol{w}$ 



v w w v v  $\alpha$  k v  $cos(\alpha) \cdot ||w||$ 

• Relation:

$$\frac{k}{\|v\|} = \frac{v \cdot w}{\|v\|^2}$$
$$= \frac{v \cdot w}{v \cdot v}$$

- Why is this useful?
- Remember when we determined the orthogonal projection:

$$\frac{k}{\|v\|} = \frac{v \cdot w}{v \cdot v}$$

$$0 = \langle p - x, v \rangle$$
  
=  $\langle p - (a + \lambda v), v \rangle$   
=  $\langle p - a, v \rangle - \langle \lambda v, v \rangle$   
 $\lambda \langle v, v \rangle = \langle p - a, v \rangle$   
 $\lambda = \frac{\langle v, w \rangle}{\langle v, v \rangle}$ 



• Calculate the orthogonal projection of a point onto the plane

- Calculate the orthogonal projection of a point onto the plane
- Given is a point q on the plane and a normalized normal  $n_0$
- Task: project p orthogonally on the plane



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• Example:

$$q = \begin{pmatrix} 1\\2\\3 \end{pmatrix}, \ n_0 = \begin{pmatrix} 1/3\\2/3\\2/3 \end{pmatrix}$$
$$p = \begin{pmatrix} 3\\3\\6 \end{pmatrix}$$

 $p - n_0 \cdot \langle n_0, v \rangle$ 

• Example:

$$q = \begin{pmatrix} 1\\2\\3 \end{pmatrix}, \ n_0 = \begin{pmatrix} 1/3\\2/3\\2/3 \end{pmatrix}$$
$$p = \begin{pmatrix} 4\\5\\9 \end{pmatrix}$$

$$P_{p} = p - n_{0} \cdot \langle n_{0}, p - q \rangle$$
  
=  $p - n_{0} \cdot (1 + 2 + 4)$   
=  $\binom{4}{5}_{9} - \binom{7/3}{14/3}_{14/3}$   
=  $\frac{1}{3} \binom{5}{1}_{13}$ 

O

 Cross product of two vectors results in an orthogonal vectors

$$v \times w = \begin{pmatrix} v_2 w_3 - v_3 w_2 \\ v_3 w_1 - v_1 w_3 \\ v_1 w_2 - v_2 w_1 \end{pmatrix}$$

vec3 v = vec3(1,2,3); vec3 w = vec3(4,5,6); vec3 u = cross(v,w); //u = (-3,6,-3)



• The enclosing area can be determined, too

v



#### Matrices

#### Introduction

- A matrix is basically a rectangular array of numbers, symbols and/or expressions
- Each individual item in a matrix is called an element of the matrix
- Example of a 2x3 matrix:

```
mat3x2 M = mat3x2(1,4,2,5,3,6); //column wise
//matnxm n,m ∈ {2,3,4}, m ≠ n
//matn, n ∈ {2,3,4}
```

$$M = \begin{pmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{pmatrix}$$

#### Introduction

- Indexing
- Constructions

```
vec3 col0 = vec3(1, 2, 3);
vec3 col1 = vec3(4, 5, 6);
vec3 col2 = vec3(7, 8, 9);
mat3 M = mat3(col0, col1, col2); //set columns
float M20 = M[2][0]; // = 7 (col2[0])
float M11 = M[1].y; // = 5 (col1.y)
```

$$M = \begin{pmatrix} 1 & 4 & 7 \\ 2 & 5 & 8 \\ 3 & 6 & 9 \end{pmatrix}$$



Matrices are indexed by:

- (Math)
  - (i,j) where i is the row and j is the column
  - i x j matrix (row,column)
- (GLSL)
  - [i][j] where i is the column and j is the row
  - i x j matrix (column,row)

• GLSL allows scalar operations:

mat2 M = mat2(1,2,3,4);
mat2 N = M+2;
mat2 0 = M\*2;

$$M = \begin{pmatrix} 1 & 3 \\ 2 & 4 \end{pmatrix}$$
$$N = \begin{pmatrix} 3 & 5 \\ 4 & 6 \end{pmatrix}$$
$$O = \begin{pmatrix} 2 & 6 \\ 4 & 8 \end{pmatrix}$$

• Of course standard operations:

mat2 M = mat2(1,2,3,4);
mat2 N = mat2(1,3,4,6);
mat2 O = M+N;

$$M = \begin{pmatrix} 1 & 3 \\ 2 & 4 \end{pmatrix}$$
$$N = \begin{pmatrix} 1 & 4 \\ 3 & 6 \end{pmatrix}$$
$$O = \begin{pmatrix} 2 & 7 \\ 5 & 10 \end{pmatrix}$$

• Matrix-matrix multiplication

$$M = \begin{pmatrix} 1 & 3 \\ 2 & 4 \end{pmatrix}$$

$$mat2 \ M = mat2(1,2,3,4);$$

$$M = \begin{pmatrix} 1 \\ 3 \\ 4 \\ 6 \end{pmatrix}$$

$$N = \begin{pmatrix} 1 \\ 3 \\ 6 \end{pmatrix}$$

$$O = \begin{pmatrix} 1 \cdot 1 + 3 \cdot 3 \\ 2 \cdot 1 + 4 \cdot 6 \\ 2 \cdot 1 + 4 \cdot 6 \\ 2 \cdot 4 + 4 \cdot 6 \end{pmatrix}$$

$$= \begin{pmatrix} 10 & 22 \\ 26 & 32 \end{pmatrix}$$

/1

2

• Matrix-vector multiplication

$$M = \begin{pmatrix} 1 & 0 \\ 2 & 4 \end{pmatrix}$$

$$mat2 M = mat2(1,2,3,4);$$

$$v = \begin{pmatrix} 1 \\ 3 \end{pmatrix}$$

$$vec2 v = vec2(1,3);$$

$$o = \begin{pmatrix} 1 \cdot 1 \\ 2 \cdot 1 \end{pmatrix}$$

$$\begin{aligned} \mathcal{A} &= \begin{pmatrix} 1 & 3 \\ 2 & 4 \end{pmatrix} \\ v &= \begin{pmatrix} 1 \\ 3 \end{pmatrix} \\ o &= \begin{pmatrix} 1 \cdot 1 + 3 \cdot 3 \\ 2 \cdot 1 + 4 \cdot 6 \end{pmatrix} \\ &= \begin{pmatrix} 10 \\ 26 \end{pmatrix} \end{aligned}$$

 $\sim$ 

1.

• Identity matrix

mat3 Id = mat3(1); vec2 v = vec2(1,2,3); vec2 o = Id\*v;

$$M = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
$$v = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}$$
$$o = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}$$

• Scaling the vector v = (3,2) along the x-axis by 0.5 and along the y-axis by 2:





- The scaling operation is a non-uniform scale, because the scaling factor is not the same for each axis
- If the scalar would be equal on all axes it would be called a uniform scale

- Constructing a transformation matrix that does the scaling
- Identity matrix multiplied 1 with the corresponding vector element ightarrow change the 1s in the identity matrix to the scaling factor
- Represent the scaling variables as  $S = (s_1, s_2, s_3)$ , then define scaling matrix:  $(s_1 \ 0 \ 0 \ 0) \ (x) \ (s_1 x)$

$$\begin{pmatrix} s_1 & 0 & 0 & 0 \\ 0 & s_2 & 0 & 0 \\ 0 & 0 & s_3 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} s_1 x \\ s_2 y \\ s_3 z \\ 1 \end{pmatrix}$$

• For now ignore the last component (1)
# Operations

- Additionally, we want to translate the vector after scaling
- The translating variables are represented as  $T = (t_1, t_2, t_3)$ , then define the matrix:

$$\begin{pmatrix} s_1 & 0 & 0 & t_1 \\ 0 & s_2 & 0 & t_2 \\ 0 & 0 & s_3 & t_3 \\ 0 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} s_1 x + t_1 \\ s_2 y + t_2 \\ s_3 z + t_3 \\ 1 \end{pmatrix}$$

### Operations

• Example: v = (2,3,3), S = (2,3,2), T = (0,-7,-2)

# Homogeneous Coordinates

- The w component of a vector is also known as a homogeneous coordinate
- 3D vector from a homogeneous vector  $\rightarrow$  divide x, y, z by w
- (Did not notice this because w component was 1.0)
- Advantages: allows to do translations on 3D vectors (without a w or 0 can't translate)

# Operations

- Next step: rotations
- A rotation in 2D or 3D is represented with an angle
- Angles could be in degrees or radians (whole circle has 360° or  $2\pi$  in radians)

angle in degrees = angle in radians 
$$\cdot \frac{180}{\pi}$$
  
angle in radians = angle in degrees  $\cdot \frac{\pi}{180}$ 

- Rotation in 2D requires an angle and a direction (clock-wise (cw) / counter-clock-wise (ccw))
- Suppose we want to ccw rotate a vector w = (x, y) around an angle  $\alpha$



• First, compute v



• First, compute v

$$v = ||w|| \cdot \sin(\alpha + \beta)$$
  
=  $||w|| \cdot (\sin(\alpha)\cos(\beta) + \cos(\alpha)\sin(\beta))$   
=  $||w|| \cdot (\sin(\alpha)\frac{x}{||w||} + \cos(\alpha)\frac{y}{||w||})$   
=  $x\sin(\alpha) + y\cos(\alpha)$ 



• Then, compute *u* 

$$u = ||w|| \cdot \cos(\alpha + \beta)$$
  
=  $||w|| \cdot (\cos(\alpha)\cos(\beta) - \sin(\alpha)\sin(\beta))$   
=  $||w|| \cdot (\cos(\alpha)\frac{x}{||w||} - \sin(\alpha)\frac{y}{||w||})$   
=  $x\cos(\alpha) - y\sin(\alpha)$ 



• All together:

$$u = x \cos(\alpha) - y \sin(\alpha)$$
$$v = x \sin(\alpha) + y \cos(\alpha)$$

• All together:

$$\begin{array}{l} u = x\cos(\alpha) - y\sin(\alpha) \\ v = x\sin(\alpha) + y\cos(\alpha) \end{array} \implies \begin{pmatrix} u \\ v \end{pmatrix} = \underbrace{\begin{pmatrix} \cos(\alpha) & -\sin(\alpha) \\ \sin(\alpha) & \cos(\alpha) \end{pmatrix}}_{R_{\alpha}} \cdot \begin{pmatrix} x \\ y \end{pmatrix}$$

$$R_{\alpha} = \begin{pmatrix} \cos(\alpha) & -\sin(\alpha) \\ \sin(\alpha) & \cos(\alpha) \end{pmatrix}$$

- Rotations in 3D are specified with an angle and a rotation axis
- The 2D rotation helps us to define 3D rotations:

$$R_{\alpha}^{x} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos(\alpha) & -\sin(\alpha) \\ 0 & \sin(\alpha) & \cos(\alpha) \end{pmatrix} \quad R_{\alpha}^{y} = \begin{pmatrix} \cos(\alpha) & 0 & \sin(\alpha) \\ 0 & 1 & 0 \\ -\sin(\alpha) & 0 & \cos(\alpha) \end{pmatrix} \quad R_{\alpha}^{z} = \begin{pmatrix} \cos(\alpha) & -\sin(\alpha) & 0 \\ \sin(\alpha) & \cos(\alpha) & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Signs are different to ensure the ccw rotation

- Using the rotation matrices → position vectors can be rotate around one of the three unit axes
- Also possible to combine them (e.g., first rotate around the x-axis, then around the y-axis)
- This quickly introduces a problem called Gimbal lock → normally we have three degrees of freedom, after rotating it may happen that two axes coincide such that we loose one degree of freedom

- Better solution is to rotate around an arbitrary unit vector n
- Instead of combining the rotation matrices

$$R_{\hat{n}}(\alpha) = \begin{pmatrix} n_1^2 \left(1 - \cos \alpha\right) + \cos \alpha & n_1 n_2 \left(1 - \cos \alpha\right) - n_3 \sin \alpha & n_1 n_3 \left(1 - \cos \alpha\right) + n_2 \sin \alpha \\ n_2 n_1 \left(1 - \cos \alpha\right) + n_3 \sin \alpha & n_2^2 \left(1 - \cos \alpha\right) + \cos \alpha & n_2 n_3 \left(1 - \cos \alpha\right) - n_1 \sin \alpha \\ n_3 n_1 \left(1 - \cos \alpha\right) - n_2 \sin \alpha & n_3 n_2 \left(1 - \cos \alpha\right) + n_1 \sin \alpha & n_3^2 \left(1 - \cos \alpha\right) + \cos \alpha \end{pmatrix}$$

- Even this matrix does not completely prevent gimbal lock (but it gets a lot harder)
- To truly prevent Gimbal locks, need quaternions (safer and computationally friendly)

# **Combining Matrices**

- True power from using matrices for transformations is the combination of multiple transformations in a single matrix
- Say we have a vector (x, y, z) and we want to scale it by 2 and then translate it by (1,2,3)
- $\rightarrow$  Need a translation and a scaling matrix:

$$\begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 2 \\ 0 & 0 & 1 & 3 \\ 0 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 2 & 0 & 0 & 1 \\ 0 & 2 & 0 & 2 \\ 0 & 0 & 2 & 3 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

# Combining Matrices

 $\begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 2 \\ 0 & 0 & 1 & 3 \\ 0 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 2 & 0 & 0 & 1 \\ 0 & 2 & 0 & 2 \\ 0 & 0 & 2 & 3 \\ 0 & 0 & 0 & 1 \end{pmatrix}$ 

- Note, first a translation and then a scale transformation
- Matrix multiplication is not commutative (order is important!)
- Right-most matrix is first multiplied with the vector → read the multiplications from right to left
- When combining matrices it is advised to do:
  - 1. scaling
  - 2. rotations
  - 3. Translations
- E.g., if you would first do a translation and then scale, the translation vector would also scale!

# **Combining Matrices**

• Running the final transformation matrix on our vector results in the following vector:

$$\begin{pmatrix} 2 & 0 & 0 & 1 \\ 0 & 2 & 0 & 2 \\ 0 & 0 & 2 & 3 \\ 0 & 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} 2x+1 \\ 2y+2 \\ 2z+2 \\ 1 \end{pmatrix}$$

### GLM

- Now time to use transformations
- OpenGL does not have any form of matrix or vector knowledge built in
- But, there is an easy-to-use and tailored-for-OpenGL mathematics library called GLM

- GLM stands for OpenGL Mathematics (header-only library → only include no linking and compiling)
- GLM can be downloaded: <u>https://glm.g-truc.net</u>

#### GLM 0.9.9.8

Groovounet released this on 13 Apr · 21 commits to master since this release

#### Features:

- Added GLM\_EXT\_vector\_intX\* and GLM\_EXT\_vector\_uintX\* extensions
- Added GLM\_EXT\_matrix\_intX\* and GLM\_EXT\_matrix\_uintX\* extensions

#### Improvements:

• Added clamp, repeat, mirrorClamp and mirrorRepeat function to GLM\_EXT\_scalar\_commond and GLM\_EXT\_vector\_commond extensions with tests

#### Fixes:

- Fixed unnecessary warnings from matrix\_projection.inl #995
- Fixed quaternion slerp overload which interpolates with extra spins #996
- Fixed for glm::length using arch64 #992
- Fixed singularity check for quatLookAt #770

#### Assets 4

	3.27 MB
	5.41 MB
Source code (zip)	
Source code (tar.gz)	

• Copy the root directory (glm) of the header files into your includes folder





• Most of GLM's functionality can be found in only 3 headers files:

#include <glm/glm.hpp>
#include <glm/gtc/matrix\_transform.hpp>
#include <glm/gtc/type\_ptr.hpp>

- First, translate a vector of (1,0,0) by (1,1,0)
- (Note that we define it as a glm::vec4 with its homogenous coordinate set to 1.0):

```
glm::vec4 vec(1.0f, 0.0f, 0.0f, 1.0f);
glm::mat4 trans = glm::mat4(1.0); // not an identity matrix per default
trans = glm::translate(trans, glm::vec3(1.0f, 1.0f, 0.0f));
vec = trans * vec;
std::cout << vec.x << vec.y << vec.z << std::endl;</pre>
```

```
!!!Note: glm::mat4 trans = glm::mat4(1.0);
this is different than in the book!!!
```

```
glm::vec4 vec(1.0f, 0.0f, 0.0f, 1.0f);
glm::mat4 trans = glm::mat4(1.0); // not an identity matrix per default
trans = glm::translate(trans, glm::vec3(1.0f, 1.0f, 0.0f));
vec = trans * vec;
std::cout << vec.x << vec.y << vec.z << std::endl;</pre>
```

- First define a vector named vec using GLM's built-in vector class
- Next define a mat4 named *trans* (it is set as the identity matrix)
- Next create a transformation matrix by passing trans to the glm::translate function, together with a translation vector (given matrix is multiplied with a translation matrix and the resulting matrix is returned)
- Then, multiply vec by the transformation matrix and output the result

- Now, translate, scale, and rotate the textured wall from last lecture
- First, rotate the wall by 90 degrees counter-clockwise
- Then, scale it by 0.5, thus making it twice as small
- Finally, translate it:

```
glm::mat4 trans = glm::mat4(1.0);
trans = glm::rotate(trans, glm::radians(90.0f), glm::vec3(0.0, 0.0, 1.0));
trans = glm::scale(trans, glm::vec3(0.5, 0.5, 0.5));
trans = glm::translate(trans, glm::vec3(1.0f, 1.0f, 0.0f));
```

```
glm::mat4 trans = glm::mat4(1.0);
trans = glm::rotate(trans, glm::radians(90.0f), glm::vec3(0.0, 0.0, 1.0));
trans = glm::scale(trans, glm::vec3(0.5, 0.5, 0.5));
trans = glm::translate(trans, glm::vec3(1.0f, 1.0f, 0.0f));
```

- GLM expects its angles in radians → convert the degrees to radians using glm::radians
- Note 1: Textured rectangle is on the XY plane  $\rightarrow$  rotate around the Z-axis
- GLM automatically multiplies the matrices together (resulting in one transformation matrix)
- Note 2: Read the transformations from bottom to top!!!

- Transformation matrix should be passed to the shader
- So, use a mat4 uniform and multiply the position vector by the matrix

```
#version 330 core
layout (location = 0) in vec3 aPos;
layout (location = 1) in vec3 aColor;
uniform mat4 transform;
out vec2 TexCoord;
void main()
{
gl_Position = transform*vec4(aPos, 1.0);
TexCoord = vec2(aTexCoord.x, aTexCoord.y);
}
```

# GLSL also has mat2 and mat3 types that allow for swizzling-like operations just like vectors.

mat3 Matrix; Matrix[1].yzx = vec3(3.0, 1.0, 2.0);

• Still need to pass the transformation matrix to the shader though:

unsigned int transformLoc = glGetUniformLocation(ourShader.ID, "transform"); glUniformMatrix4fv(transformLoc, 1, GL\_FALSE, glm::value\_ptr(trans));

- 1. Is the uniform's location
- 2. tells OpenGL how many matrices are send = 1
- 3. asks if the matrix should be transposed (swap the columns and rows, no as GLM gives the right matrix)
- 4. is the actual matrix data, but GLM stores their matrices not in the exact way that OpenGL likes to receive them so transform them with GLM's builtin function value\_ptr

F5	
nice!	
<pre>glm::mat4 trans = glm::mat4(1.0); trans = glm::rotate(trans, glm::radians(90.0f), glm::vec3(0.0, 0.0, 1.0)); trans = glm::scale(trans, glm::vec3(0.5, 0.5, 0.5)); trans = glm::translate(trans, glm::vec3(1.0f, 1.0f, 0.0f));</pre>	



...nice, too! But be careful with the order!



```
glm::mat4 trans = glm::mat4(1.0);
trans = glm::rotate(trans, glm::radians(90.0f), glm::vec3(0.0, 0.0, 1.0));
trans = glm::translate(trans, glm::vec3(1.0f, 1.0f, 0.0f));
trans = glm::scale(trans, glm::vec3(0.5, 0.5, 0.5));
```

- To rotate the wall over time use this code in the game loop
- (Needs to update the matrix each render iteration):

```
glm::mat4 trans = glm::mat4(1.0);
trans = glm::rotate(trans, (float)glfwGetTime(), glm::vec3(0.0, 0.0, 1.0));
glUniformMatrix4fv(transformLoc, 1, GL_FALSE, glm::value_ptr(trans));
```

F5...

... rotating beauty!



### Complex numbers\*

• The complex numbers extend the range of real numbers such that the equation

$$x^2 + 1 = 0$$

has a solution:

$$x_0 = i$$

### Complex Numbers

 Real numbers



### **Complex Numbers**




• Complex numbers



• What is 'i'?

 $i^2 = -1$ 

• Geometrically it is a counterclockwise rotatiaon of 90°



• Geometrically it is a counterclockwise rotation of 90°



• Different ways to express a complex number









#### Rules

• Let  $z_1 = a + bi$  and  $z_2 = c + di$  be complex numbers with  $a, b, c, d \in \mathbb{R}$ 

$$z_{1} + z_{2} = (a + bi) + (c + di) = (a + c) + (b + d)i$$
  

$$z_{1} - z_{2} = (a + bi) - (c + di) = (a - c) + (b - d)i$$
  

$$z_{1} \cdot z_{2} = (a + bi) \cdot (c + di) = ac + adi + bci + bdi^{2} = (ac - bd) + (ad + bc)i$$
  

$$\frac{z_{1}}{z_{2}} = \frac{(a + bi)(c - di)}{(c + di)(c - di)} = \frac{ac + bd}{c^{2} + d^{2}} + \frac{bc - ad}{c^{2} + d^{2}}i$$

# Rules

• Addition/subtraction is a simple vector addition



## Rotation

• To rotate a vector z = (a, b) CCW around an angle  $\alpha$ , we can multiply it with the rotation matrix:

$$\begin{pmatrix} \cos(\alpha) & -\sin(\alpha) \\ \sin(\alpha) & \cos(\alpha) \end{pmatrix} \cdot z = \begin{pmatrix} a\cos(\alpha) - b\sin(\alpha) \\ a\sin(\alpha) + b\cos(\alpha) \end{pmatrix}$$

$$\begin{pmatrix} \cos(\alpha) & -\sin(\alpha) \\ \sin(\alpha) & \cos(\alpha) \end{pmatrix} \cdot z = \begin{pmatrix} a\cos(\alpha) - b\sin(\alpha) \\ a\sin(\alpha) + b\cos(\alpha) \end{pmatrix}$$

### Rotation

• Or, we multiply it with a complex number:

$$z \cdot w = (a + bi) \cdot (\cos(\alpha) + i\sin(\alpha))$$
$$= (a\cos(\alpha) - b\sin(\alpha)) + (a\sin(\alpha) + b\cos(\alpha))$$



## Rotation

• 2D rotations can be achieved with a rotation matrix or with the multiplication of complex numbers of the form  $cos(\alpha) + i sin(\alpha)$ 

• Maybe, we need to somehow extend the complex numbers such that we use a further dimension:

z = a + ib + jc

• Maybe, we need to somehow extend the complex numbers such that we use a further dimension:

z = a + ib + jc

• That's what people thought in the past, but it does not work

• Actually, we need four dimensions to rotate in 3D!

$$z = a + ib + jc + kd$$

"Here as he walked by on the 16th of October 1843 Sir William Rowan Hamilton in a flash of genius discovered the fundamental formula for quaternion multiplication  $i^2 = j^2 = k^2 = ijk = -1$ & cut it on a stone of this bridge"



• Complex number:

$$z = a + ib, i^2 = -1$$

• Complex number:

$$z = a + ib, i^2 = -1$$

• Quaternion:

$$w = a + ib + jc + kd$$
$$i^2 = j^2 = k^2 = -1$$
$$ijk = -1$$

- Quaternion multiplication
- Not commutative



- Quaternion multiplication
- Not commutative



Quaternion multiplication

$$w_1 \cdot w_2 = (a_1 + ib_1 + jc_1 + kd_1)(a_2 + ib_2 + jc_2 + kd_2)$$
  
=  $(a_1a_2 - b_1b_2 - c_1c_2 - d_1d_2)$   
+  $i(a_1b_2 + b_1a_2 + c_1d_2 - d_1c_2)$   
+  $j(a_1c_2 - b_1d_2 + c_1a_2 + d_1b_2)$   
+  $k(a_1d_2 + b_1c_2 - c_1b_2 + d_1a_2)$ 

- $w_1 \cdot w_2 = (a_1a_2 b_1b_2 c_1c_2 d_1d_2)$  $+ i(a_1b_2 + b_1a_2 + c_1d_2 - d_1c_2)$  $+ j(a_1c_2 - b_1d_2 + c_1a_2 + d_1b_2)$  $+ k(a_1d_2 + b_1c_2 - c_1b_2 + d_1a_2)$
- Product can also be written as a matrix,  $w_1 = (a, b, c, d)$

$$w_1 \cdot w_2 = \underbrace{\begin{pmatrix} a & -b & -c & -d \\ b & a & -d & c \\ c & d & a & -b \\ d & -c & b & a \end{pmatrix}}_{\mathbf{W}_1} \cdot w_2$$

- $w_1 \cdot w_2 = (a_1a_2 b_1b_2 c_1c_2 d_1d_2)$  $+ i(a_1b_2 + b_1a_2 + c_1d_2 - d_1c_2)$  $+ j(a_1c_2 - b_1d_2 + c_1a_2 + d_1b_2)$  $+ k(a_1d_2 + b_1c_2 - c_1b_2 + d_1a_2)$
- Product can also be written as a matrix,  $w_1 = (a, b, c, d)$

$$w_{1} \cdot w_{2} = \begin{pmatrix} a & -b & -c & -d \\ b & a & -d & c \\ c & d & a & -b \\ d & -c & b & a \end{pmatrix} \cdot w_{2} = \mathbf{W}_{1} \cdot w_{2}$$
$$w_{2} \cdot w_{1} = \begin{pmatrix} a & -b & -c & -d \\ b & a & d & -c \\ c & -d & a & b \\ d & c & -b & a \end{pmatrix} \cdot w_{2} = \mathbf{\bar{W}}_{1} \cdot w_{2}$$

- $w_1 \cdot w_2 = (a_1a_2 b_1b_2 c_1c_2 d_1d_2)$  $+ i(a_1b_2 + b_1a_2 + c_1d_2 - d_1c_2)$  $+ j(a_1c_2 - b_1d_2 + c_1a_2 + d_1b_2)$  $+ k(a_1d_2 + b_1c_2 - c_1b_2 + d_1a_2)$
- Product can also be written as a matrix,  $w_1 = (a, b, c, d)$

$$w_{1} \cdot w_{2} = \begin{pmatrix} a & -b & -c & -d \\ b & a & -d & c \\ c & d & a & -b \\ d & -c & b & a \end{pmatrix} \cdot w_{2} = \mathbf{W}_{1} \cdot w_{2}$$
$$w_{2} \cdot w_{1} = \begin{pmatrix} a & -b & -c & -d \\ b & a & d & -c \\ c & -d & a & b \\ d & c & -b & a \end{pmatrix} \cdot w_{2} = \bar{\mathbf{W}}_{1} \cdot w_{2}$$

$$\mathbf{W} = \begin{pmatrix} a & -b & -c & -d \\ b & a & -d & c \\ c & d & a & -b \\ d & -c & b & a \end{pmatrix}$$

• If  $w_1 = (a, b, c, d)$  has unit length, the matrix is orthogonal

$$\mathbf{W}\mathbf{W}^{T} = \begin{pmatrix} a & -b & -c & -d \\ b & a & -d & c \\ c & d & a & -b \\ d & -c & b & a \end{pmatrix} \begin{pmatrix} a & b & c & d \\ -b & a & d & -c \\ -c & -d & a & b \\ -d & c & -b & a \end{pmatrix}$$
$$= \begin{pmatrix} r^{2} & 0 & 0 & 0 \\ 0 & r^{2} & 0 & 0 \\ 0 & 0 & r^{2} & 0 \\ 0 & 0 & 0 & r^{2} \end{pmatrix}, \quad r^{2} = a^{2} + b^{2} + c^{2} + d^{2}$$

$$\bar{\mathbf{W}} = \begin{pmatrix} a & -b & -c & -d \\ b & a & d & -c \\ c & -d & a & b \\ d & c & -b & a \end{pmatrix}$$

• If  $w_1 = (a, b, c, d)$  has unit length, the matrix is orthogonal

$$\begin{split} \bar{\mathbf{W}}\bar{\mathbf{W}}^{T} &= \begin{pmatrix} a & -b & -c & -d \\ b & a & d & -c \\ c & -d & a & b \\ d & c & -b & a \end{pmatrix} \begin{pmatrix} a & b & c & d \\ -b & a & -d & c \\ -c & d & a & -b \\ -d & -c & b & a \end{pmatrix} \\ &= \begin{pmatrix} r^{2} & 0 & 0 & 0 \\ 0 & r^{2} & 0 & 0 \\ 0 & 0 & r^{2} & 0 \\ 0 & 0 & 0 & r^{2} \end{pmatrix}, \quad r^{2} = a^{2} + b^{2} + c^{2} + d^{2} \end{split}$$

• Dot product of two quaternions:

$$w_1 \circ w_2 = (a_1 + ib_1 + jc_1 + kd_1) \circ (a_2 + ib_2 + jc_2 + kd_2)$$
$$= a_1a_2 + b_1b_2 + c_1c_2 + d_1d_2$$

• Complex conjugate:

$$w = a + ib + jc + kd$$
$$w^* = a - ib - jc - kd$$

 $w_1 \cdot w_2 = (a_1 + ib_1 + jc_1 + kd_1)(a_2 + ib_2 + jc_2 + kd_2)$ =  $(a_1a_2 - b_1b_2 - c_1c_2 - d_1d_2)$ +  $i(a_1b_2 + b_1a_2 + c_1d_2 - d_1c_2)$ +  $j(a_1c_2 - b_1d_2 + c_1a_2 + d_1b_2)$ +  $k(a_1d_2 + b_1c_2 - c_1b_2 + d_1a_2)$ 

• Quaternion multiplication

$$w_{1} \cdot w_{1}^{*} = (a_{1} + ib_{1} + jc_{1} + kd_{1})(a_{1} - ib_{1} - jc_{1} - kd_{1})$$
  
=  $(a_{1}a_{1} + b_{1}b_{1} + c_{1}c_{1} + d_{1}d_{1})$   
+  $i(-a_{1}b_{1} + b_{1}a_{1} - c_{1}d_{1} + d_{1}c_{1})$   
+  $j(-a_{1}c_{1} + b_{1}d_{1} + c_{1}a_{1} - d_{1}b_{1})$   
+  $k(-a_{1}d_{1} - b_{1}c_{1} + c_{1}b_{1} + d_{1}a_{1})$ 

 $w_1 \cdot w_2 = (a_1 + ib_1 + jc_1 + kd_1)(a_2 + ib_2 + jc_2 + kd_2)$ =  $(a_1a_2 - b_1b_2 - c_1c_2 - d_1d_2)$ +  $i(a_1b_2 + b_1a_2 + c_1d_2 - d_1c_2)$ +  $j(a_1c_2 - b_1d_2 + c_1a_2 + d_1b_2)$ +  $k(a_1d_2 + b_1c_2 - c_1b_2 + d_1a_2)$ 

• Quaternion multiplication

$$w_{1} \cdot w_{1}^{*} = (a_{1} + ib_{1} + jc_{1} + kd_{1})(a_{1} - ib_{1} - jc_{1} - kd_{1})$$
  

$$= (a_{1}a_{1} + b_{1}b_{1} + c_{1}c_{1} + d_{1}d_{1})$$
  

$$+ i(-a_{1}b_{1} + b_{1}a_{1} - c_{1}d_{1} + d_{1}c_{1})$$
  

$$+ j(-a_{1}c_{1} + b_{1}d_{1} + c_{1}a_{1} - d_{1}b_{1})$$
  

$$+ k(-a_{1}d_{1} - b_{1}c_{1} + c_{1}b_{1} + d_{1}a_{1})$$
  

$$= (a_{1}a_{1} + b_{1}b_{1} + c_{1}c_{1} + d_{1}d_{1})$$

• Dot product of two quaternions:

$$w_1 \circ w_2 = (a_1 + ib_1 + jc_1 + kd_1) \circ (a_2 + ib_2 + jc_2 + kd_2)$$
$$= a_1a_2 + b_1b_2 + c_1c_2 + d_1d_2$$

• Complex conjugate:

$$w = a + ib + jc + kd$$
$$w^* = a - ib - jc - kd$$

$$ww^* = w \circ w$$

• Non-commutative rule

$$w_1 \cdot w_2 = \mathbf{W}_1 \cdot w_2$$
$$w_2 \cdot w_1 = \mathbf{\bar{W}}_1 \cdot w_2$$

$$w_1^* \cdot w_2 =$$

$$\mathbf{W} = \begin{pmatrix} a & -b & -c & -d \\ b & a & -d & c \\ c & d & a & -b \\ d & -c & b & a \end{pmatrix}$$

• Non-commutative rule

$$w_1 \cdot w_2 = \mathbf{W}_1 \cdot w_2$$
$$w_2 \cdot w_1 = \mathbf{\bar{W}}_1 \cdot w_2$$

$$w_1^* \cdot w_2 = \mathbf{W}_1^T \cdot w_2$$

$$\mathbf{W} = \begin{pmatrix} a & -b & -c & -d \\ b & a & -d & c \\ c & d & a & -b \\ d & -c & b & a \end{pmatrix}$$
• Non-commutative rule

$$w_1 \cdot w_2 = \mathbf{W}_1 \cdot w_2$$
$$w_2 \cdot w_1 = \mathbf{\bar{W}}_1 \cdot w_2$$

$$w_1^* \cdot w_2 = \mathbf{W}_1^T \cdot w_2$$
$$w_2 \cdot w_1^* = \bar{\mathbf{W}}_1^T \cdot w_2$$

$$\mathbf{W} = \begin{pmatrix} a & -b & -c & -d \\ b & a & -d & c \\ c & d & a & -b \\ d & -c & b & a \end{pmatrix}$$

 $w_1^* \cdot w_2 = \mathbf{W}_1^T \cdot w_2$  $w_2 \cdot w_1^* = \bar{\mathbf{W}}_1^T \cdot w_2$ 

• The composite product:

 $r' = qrq^*$ =

=

 $w_1^* \cdot w_2 = \mathbf{W}_1^T \cdot w_2$  $w_2 \cdot w_1^* = \bar{\mathbf{W}}_1^T \cdot w_2$ 

• The composite product:

 $r' = qrq^*$  $= (\mathbf{Q}r)q^*$ =

=

 $w_1^* \cdot w_2 = \mathbf{W}_1^T \cdot w_2$  $w_2 \cdot w_1^* = \bar{\mathbf{W}}_1^T \cdot w_2$ 

• The composite product:

$$r' = qrq^*$$
$$= (\mathbf{Q}r)q^*$$
$$= \bar{\mathbf{Q}}^T(\mathbf{Q}r)$$

 $w_1^* \cdot w_2 = \mathbf{W}_1^T \cdot w_2$  $w_2 \cdot w_1^* = \bar{\mathbf{W}}_1^T \cdot w_2$ 

• The composite product:

$$r' = qrq^*$$
$$= (\mathbf{Q}r)q^*$$
$$= \bar{\mathbf{Q}}^T(\mathbf{Q}r)$$
$$= (\bar{\mathbf{Q}}^T\mathbf{Q})r$$

• The composite product:

$$r' = (\bar{\mathbf{Q}}^T \mathbf{Q})r$$

• Let's assume

$$qq^* = 1$$

then the matrix  $oldsymbol{Q}$  is orthogonal

• The composite product:

$$r' = (\bar{\mathbf{Q}}^T \mathbf{Q})r$$

• If the matrix  $\boldsymbol{Q}$  is orthogonal then  $\overline{\boldsymbol{Q}}^T \boldsymbol{Q}$  is orthogonal, too:

$$(\bar{\mathbf{Q}}^T \mathbf{Q})(\bar{\mathbf{Q}}^T \mathbf{Q})^T = (\bar{\mathbf{Q}}^T \mathbf{Q})(\mathbf{Q}^T \bar{\mathbf{Q}})$$
$$= \bar{\mathbf{Q}}^T \underbrace{\mathbf{Q}}_{I} \underbrace{\mathbf{Q$$

• Multiplication with a quaternion and the complex conjugate quaternion is equivalent with a rotation:

$$r' = qrq^* = (\bar{\mathbf{Q}}^T \mathbf{Q})r \qquad \bar{\mathbf{Q}}^T \mathbf{Q} = \begin{pmatrix} qq^* & 0 & 0 & 0\\ 0 & & \\ 0 & & \\ 0 & & \\ \end{pmatrix}$$
Rotation

(From: Berthold K. P. Horn, Closed-form solution of absolute orientation using unit quaternions)

• Applying a second rotation:

$$r'' = pr'p^*$$
  
=  $p(qrq^*)p^*$   
=  $(pq)r(q^*p^*)$   
=  $(pq)r(pq)^*$ 

• So again, instead of rotating a 3D point by defining rotation matrices, it can be done with a multiplication of a unit length quaternion

• Assume, we have a point p and we want to ccw rotate them around an axis q with ||q|| = 1 about the angle  $\alpha$ 



• First, rewrite q and p as a quaternion:

$$p = \begin{pmatrix} 1\\1\\1 \end{pmatrix} \Rightarrow 1i + 1j + 1k$$

$$q = \frac{1}{3} \begin{pmatrix} 2\\2\\1 \end{pmatrix} \Rightarrow \frac{2}{3}i + \frac{2}{3}j + \frac{1}{3}k$$

• Remember complex numbers:

$$z \cdot w = (a + bi) \cdot (\cos(\alpha) + i\sin(\alpha))$$
$$= (a\cos(\alpha) - b\sin(\alpha)) + (a\sin(\alpha) + b\cos(\alpha))a$$



- It is slightly different
- First, we assign:

$$q \leftarrow \cos(\alpha/2) + \sin(\alpha/2) \cdot q$$

• Then, we determine

$$rot = q \cdot p \cdot q^*$$

$$p = \begin{pmatrix} 1\\1\\1 \end{pmatrix} \Rightarrow 1i + 1j + 1k$$
$$q = \frac{1}{3} \begin{pmatrix} 2\\2\\1 \end{pmatrix} \Rightarrow \frac{2}{3}i + \frac{2}{3}j + \frac{1}{3}k$$

#### $rot = q \cdot p \cdot q^*$

- And we are done
- The complex parts of *rot* yield the coordinates

• Assume  $\alpha = 60^{\circ}$ 

$$q \leftarrow \cos(\alpha/2) + \sin(\alpha/2) \cdot q$$
$$q = \frac{\sqrt{3}}{2} + \frac{1}{3}i + \frac{1}{3}j + \frac{1}{6}k$$
$$p = 1i + 1j + 1k$$
$$q^* = \frac{\sqrt{3}}{2} - \frac{1}{3}i - \frac{1}{3}j - \frac{1}{6}k$$

$$p = \begin{pmatrix} 1\\1\\1 \end{pmatrix} \Rightarrow 1i + 1j + 1k$$
$$q = \frac{1}{3} \begin{pmatrix} 2\\2\\1 \end{pmatrix} \Rightarrow \frac{2}{3}i + \frac{2}{3}j + \frac{1}{3}k$$

 $q = \frac{\sqrt{3}}{2} + \frac{1}{3}i + \frac{1}{3}j + \frac{1}{6}k$ p = 1i + 1j + 1k $q^* = \frac{\sqrt{3}}{2} - \frac{1}{3}i - \frac{1}{3}j - \frac{1}{6}k$ 

• Assume  $\alpha = 60^{\circ}$ 

$$p \cdot q^* = \frac{5}{6} + \left(\frac{1}{6} + \frac{\sqrt{3}}{2}\right)i + \left(-\frac{1}{6} + \frac{\sqrt{3}}{2}\right)j + \frac{\sqrt{3}}{2}k$$
$$q \cdot p \cdot q^* = 0 + \frac{1}{18}(19 + 3\sqrt{3})i + \frac{1}{18}(19 - 3\sqrt{3})j + \frac{7}{9}k$$

$$rot = \frac{1}{18} \begin{pmatrix} 19 + 3\sqrt{3} \\ 19 - 3\sqrt{3} \\ 14 \end{pmatrix}$$

- WHY!?!
- Why is this complicated computation necessary?

- Imagine you rotate the objects continually (for example during exploration)
- This means the current rotation matrix is multiplied with another rotation matrix and so on:

$$Q_{cur} = Q_1 \cdot Q_2 \cdot \ldots \cdot Q_n$$

• Due to numerical issues the rotation matrix may be not orthogonal at the end, resulting in a weird behavior

- What could you do?
- Probably fix the matrix, but how?
- Normalizing the columns may not result in an orthogonal matrix
- At the end it is not trivial to fix the matrix

- Another application might be to interpolate between two rotation matrices
- Linear interpolation of two rotation matrices is mostly not a rotation matrix anymore

- Using quaternions makes it easy to fix these problems
- It is easy to fix a quaternion such that it is a proper rotation again
- Two quaternions can be linearly interpolated after normalization, the interpolated rotation is good enough

- Composition of rotations corresponds to multiplication of quaternions
- Product of many orthogonal matrices may no longer be orthogonal, just as the product of many unit quaternions may no longer be a unit quaternion (limitations in precisions)
- Trivial to find the nearest unit quaternion, whereas it is quite difficult to find the nearest orthogonal matrix

• Finally some code...

```
#include <glm/gtc/quaternion.hpp>
...
glm::quat rot = glm::angleAxis(glm::radians(45.f), glm::vec3(0.f, 0.f, 1.f));
...
trans=glm::mat4_cast(rot);
```

- Define a quaternion with an angle and a rotation axis
- Perform calculations
- Cast it back to a 4x4 matrix that can be used for our purposes



# Questions???